**Cornell University Export Control Office**

**Risk Assessment Questionnaire**

1. Does your department have or plan to have any engagements with entities located in any of the following countries: Cuba, Iran, Syria, Ukraine, Russia, or North Korea? If yes, please explain.
2. Does your department make international shipments? If yes:
   1. What do you ship?
   2. To what country?
   3. Do you obtain MTAs through CTL for outgoing material?
3. Does your department work with proprietary technology (including third party technology)? If yes:
   1. Please briefly describe the subject matter:
   2. Was an NDA signed by OSP?
   3. Is the technology marked with “EAR”, “ITAR”, or other markings indicating export control?
   4. How is the technology secured?
4. Do you have any other concerns related to export controls that you would like to discuss?

If you answered yes to any other the above, please contact the Export Control and Compliance Officer at [sms655@cornell.edu](mailto:sms655@cornell.edu) or 607-255-5284

You can also contact the Export Control Office at [exportcontrols@cornell.edu](mailto:exportcontrols@cornell.edu)

Please visit our website at <https://researchservices.cornell.edu/export-controls>